

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail No.: EV 327673162 US

Applicant(s): HARKER et al.

Group No.:

Filed: Herewith

Examiner:

For: INTEGRATED VARIABLE OPTICAL ATTENUATOR AND ISOLATOR,
COMPONENTS THEREFOR AND METHOD OF ASSEMBLY

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF CERTIFIED COPY

Attached please find the certified copy of the foreign application from which priority is claimed for this case:

Country : Europe
Application Number : 02256118.7
Filing Date : September 3, 2002

WARNING: "When a document that is required by statute to be certified must be filed, a copy, including a photocopy or facsimile transmission of the certification is not acceptable." 37 CFR 1.4(f) (emphasis added.)


SIGNATURE OF ATTORNEY

Reg. No.: 44,695

Joseph V. Gamberdell, Jr.

Type or print name of attorney

Tel. No.: (203) 259-1800

Perman & Green, LLP

P.O. Address

Customer No.: 2512

425 Post Road,
Fairfield, CT 06824

NOTE: The claim to priority need be in no special form and may be made by the attorney or agent if the foreign application is referred to in the oath or declaration as required by § 1.63.

(Transmittal of Certified Copy [5-4])



**Europäisches
Patentamt**

**European
Patent Office**

**Office européen
des brevets**

Bescheinigung

Certificate

Attestation

Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein.

The attached documents are exact copies of the European patent application described on the following page, as originally filed.

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet européen spécifiée à la page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

02256118.7

Der Präsident des Europäischen Patentamts;
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets
p.o.

R C van Dijk

DEN HAAG, DEN
THE HAGUE,
LA HAYE, LE

07/10/02



Europäisches
Patentamt

Eur pean
Patent Office

Office européen
des brevets

Blatt 2 der Bescheinigung
Sheet 2 of the certificate
Page 2 de l'attestation

Anmeldung Nr.:
Application no.:
Demande n°: 02256118.7

Anmeldetag:
Date of filing:
Date de dépôt: 03/09/02

Anmelder:
Applicant(s):
Demandeur(s):
Agilent Technologies, Inc. - a Delaware corporation -
Palo Alto, CA 94303-0870
UNITED STATES OF AMERICA

Bezeichnung der Erfindung:
Title of the invention:
Titre de l'invention:
Integrated variable optical attenuator and isolator, components therefor and method of assembly

In Anspruch genommene Priorität(en) / Priority(ies) claimed / Priorité(s) revendiquée(s)

Staat:	Tag:	Aktenzeichen:
State:	Date:	File no.
Pays:	Date:	Numéro de dépôt:

Internationale Patentklassifikation:
International Patent classification:
Classification internationale des brevets:

/

Am Anmeldetag benannte Vertragsstaaten:
Contracting states designated at date of filing:
Etats contractants désignés lors du dépôt: **AT/BE/CH/CY/DE/DK/ES/FI/FR/GB/GR/IE/IT/LI/LU/MC/NL/PT/SE/TR**

Bemerkungen:
Remarks:
Remarques:

Integrated variable optical attenuator and isolator, components therefor and method of assembly

The invention relates to arrangements for providing the functions of variable attenuation and isolation.

In the prior art, the variable attenuation and isolation functions are currently provided by separate subassemblies. For instance, the isolator may be co-packaged with a laser in an industry standard "butterfly" package while the variable attenuation function is provided by a variable optical attenuator packaged in a separate hermetic pigtailed package. The pigtail of the "butterfly" package is connected to the pigtail of the attenuator package either by using optical connectors or by splicing.

This kind of prior art arrangement is shown in Fig. 1, where a laser 100 and an isolator 102 are co-packaged in an industry standard "butterfly" package 104 and a variable optical attenuator (briefly VOA) 106 is separately packaged in a hermetic package 108. Light from the laser 100 is focussed by a ball lens 110 through the isolator 102 into a fibre pigtail 112, which is secured to a wall 114 of the package 104 by a ferrule 116. Electrical connections (not shown) are made from the laser 100 to the leads 118 of the package 104.

Similarly, the fibre pigtail 120 of the VOA package 108 is secured to a wall 122 of the package 108 by a ferrule 124. Electrical connections (not shown) are made from the VOA 106 to the leads 126 of the package 108. The pigtail 112 is connected to the pigtail 120 by a splice 128.

This arrangement is disadvantageous firstly in that it requires two hermetic pigtailed packages, which

are costly and bulky, and secondly in that it requires the pigtails to be joined. Splicing has the advantage of a low loss and stable connection, but is a difficult process and requires that the transmitter and VOA are mounted on the same circuit pack, thus reducing the number of transmitters which can be mounted on the circuit pack, and hence in the rack.

Patchcords are simpler to use but connectors have generally higher and more variable losses than splices. Generally there would be a connection between the transmitter pigtail and the patchcord at the front panel of the transmitter circuit pack and a connection between the patchcord and the VOA pigtail at the front panel of the VOA circuit pack. The VOA circuit packs may be positioned on a shelf below the transmitter circuit packs, hence reducing the number of shelves available for transmitters and the number of transmitters which can be mounted in the rack.

There is a further disadvantage. With conventional pigtails and patchcords the state of polarisation at the input to the VOA is unknown and so the VOA has to be of the polarisation independent type, which is typically larger, more complex and more expensive than a polarisation dependent type. Alternatively polarisation maintaining (PM) pigtails and patchcords could be used. PM fibre is more expensive than standard fibre and assembling transmitters and patchcords with PM fibre is more difficult than with standard fibre.

Fig. 2 is a perspective view of an optical isolator 102 suitable for co-packaging in a package with a laser. The isolator shown is a development, disclosed in US-A-6 055 102, of a type first described in 'Compact Optical Isolator', F. J. Sansalone, Applied Optics, Vol. 10 No. 10, pp. 2329-2331, October 1971. A garnet material is used as the Faraday rotator and a

rare earth magnet provides the magnetic field. The isolator 102 comprises a magnet 200 having a square sided opening 202 in which is located an isolator element 204. The magnet 200 is generally U-shaped in cross-section, and comprises two mounting areas 206. which lie within the same plane, for mounting the optical isolator 102 on a planar surface. The isolator element 204 is a laminate comprising a Faraday rotator 208 sandwiched between an "input" polariser 210a and an "output" polariser 210b.

Fig.3 is a schematic of a liquid crystal variable optical attenuator 300. A polarised collimated light beam 302 is incident from the left through a first glass plate 304, a thin layer of liquid crystal material 306 and a second glass plate 308. The inner faces of the glass plates 304, 308 are covered with transparent, conductive material, such as indium tin oxide, to form electrodes 312, 314. The components 304 to 314 comprise a liquid crystal cell 316. Finally the light is incident on a polariser 318. As the light propagates through the liquid crystal material 306 its plane of polarisation rotates, and the angle of rotation depends on the RMS value of the potential between the electrodes, and so the fraction transmitted by the polariser 318 varies in response to the RMS value of the potential between the electrodes, which is controlled by a controller (not shown). It will be appreciated that in order to reach the maximum attenuation it is necessary that the plane of polarisation of the collimated light beam 302 is aligned accurately, generally orthogonally, to the plane of polarisation of the polariser 318.

Fig. 4 is a schematic of an alternative liquid crystal variable optical attenuator 300 that, in addition to the elements shown in Fig.3, comprises a

second polariser 322 arranged before the first glass plate 304 to establish the polarisation state of the light incident on the liquid crystal cell 316 as the polarisers 318, 322 can be aligned more conveniently as part of the same assembly than the plane of polarisation of the collimated light beam 302 can be aligned to the plane of polarisation of the polariser 318.

Fig.5 is a schematic section of a Faraday rotator variable optical attenuator 400. A polarised collimated light beam 402 is incident from the left through a Faraday rotator material 406 and a polariser 408. The Faraday rotator material 406 and polariser 408 are located within a solenoid 410. As the light propagates through the Faraday rotator material 406 its plane of polarisation rotates, and the angle of rotation depends on the value of the magnetic field generated by the current flowing in the solenoid 410, and so the fraction transmitted by the polariser varies in response to the current flowing in the solenoid 410, which is controlled by a controller (not shown).

It will be noted that the variable optical attenuators 300 and 400 essentially comprise a polarisation rotating means (e.g. the liquid crystal cell 316 or the Faraday rotator material 406) followed by a polariser 318 or 408.

A somewhat similar arrangement is disclosed in US-A-5 978 135. There, an arrangement substantially like an optical isolator, that is with a polariser arranged before a magneto-optic element to establish the polarisation state of the light incident on the magneto-optic element (see Fig. 4) is disclosed where appropriate choice of the composition of the magneto-optic element can result in a thermally variable

rotation of the plane of polarisation and thus a variable optical attenuator.

The object of the present invention is to provide the functions of variable attenuation and isolation to an optoelectronic module in a manner that has reduced piece part count and is more compact and cheaper than the prior art.

According to the present invention, that object is achieved thanks to an arrangement having the features set forth in the claims that follow. The invention also relates to components for such an arrangement as well as to a method for assembling such an arrangement.

The invention provides an integrated variable optical attenuator (VOA) and isolator, which has the advantages of: compact size, because only one hermetic package is required and there are no connecting pigtails; ease of use, because there is no requirement to join pigtails; and reduced cost, because only one hermetic package is required and there is no requirement to join pigtails.

There are additional advantages to further reduce the size and cost as, because the VOA and isolator are integrated, there is no uncertainty in the polarisation state and hence the VOA can be of the cheaper and more compact polarisation dependent type. Furthermore isolators and some VOAs have polarisers at their inputs and outputs and by integrating the VOA and isolator one polariser can be eliminated, further reducing size and cost.

The invention will now be described, by way of example only, with reference to the annexed figures of drawing, wherein:

- Figures 1 to 5, related to the prior art, were described previously,

- Figures 6 and 7 are cross sectional views of two embodiments of an arrangement according to the invention, and

- Figure 8 schematically depicts a method of assembling an arrangement according to the invention starting from components thereof.

Fig.6 shows a variable optical attenuator 500 placed in the same polarised collimated light beam 502 as an isolator 102, the VOA 500 and the isolator 102 comprising an integrated assembly designated 600.

The VOA essentially comprises a polarisation rotating medium 504 and a polariser 506. The polarisation rotation medium can be e.g. a liquid crystal cell 316 with associated electrodes 312, 314 or a Faraday rotator material 406 having associated a solenoid 410, such details having been shown in Figs. 3 to 5.

The isolator 102 is essentially of the kind shown in Fig. 2, and includes a laminate comprising a Faraday rotator 208 sandwiched between an input polariser and an output polariser.

For that reason, throughout Figs. 6 to 8, elements or parts identical or equivalent to elements or parts already described in connection with Figures 2 to 5 are denoted by the same reference numerals already appearing in those previous figures.

The arrangement of the invention is based on the recognition that the VOA 500 and the isolator 102 may share a common polariser that is in fact represented by the polariser designated 506. This is interposed between the polarisation rotation medium or material 504 of the variable optical attenuator and the rotator 208 of the polariser, so that in polariser 506 also plays the role of the input polariser of the optical isolator.

Fig. 7 shows an alternative embodiment of an integrated VOA and isolator assembly 600 of the present invention in which the VOA 500 is supplemented with an additional polariser 604 to improve the maximum attenuation. In such an alternative arrangement the polarisation rotation medium 504 of the variable optical attenuator 500 is thus sandwiched between the additional polariser 604 and the polariser 506 that is common to the variable optical attenuator and the optical isolator.

It will be appreciated that in the prior art arrangement shown in Fig. 1 there are many potential sources of reflection between the isolator and the VOA and so, if the positions of the isolator and VOA were exchanged, the laser would be more susceptible to perturbation.

In the arrangements of Figs. 6 and 7, the only additional upstream component is the VOA, which can be designed to minimise back reflection, and so the order of the components may be determined using other criteria, e.g. geometrical.

While an integrated assembly such as shown in Fig. 6 or Fig. 7 is most advantageous, it may be that such a component cannot be conveniently sourced as the VOA and isolator technologies may not both be available to a single component company.

In this case, the assembly 600 may be separated into two portions 700, 702 as shown in Fig. 8, where the VOA function and the isolation function are primarily performed by a first portion or component 700 and by a second portion or component 702, respectively.

The arrangements shown in Figs. 6 and 7 can thus be assembled by:

- providing the first component 700 including the polarisation rotation medium 504 of the VOA 500, the

common polariser 506 connected in optical alignment, and possibly the polariser 604,

- providing the second component 702 including the optical rotator 208 of the isolator 102 connected to the output polariser 210b, and

- assembling the first 700 and second 702 component by causing the common polariser 506 to be interposed between the polarisation rotation medium 504 and the optical rotator 208.

It is advantageous for the first component 700 to comprise the "common" polariser 506 (rather than the second component 702 to comprise the polariser 506) as the polariser 506 can be well aligned to polariser 604 to reach the maximum attenuation while the alignment between the first component 700 and second component 702 is less critical for the values of isolation typically required.

The two Faraday rotators included in the isolator 102 and the VOA 500 could be both garnet materials. Advantageously, they may be different materials. For example, the isolator material could be the 'latching' type referred to in US-A-5 978 135, which does not respond to small external magnetic fields, whereas the VOA material must respond to the variable field of the solenoid.

Of course, without prejudice to the underlying principle of the invention, the details and embodiments may vary with respect to what has been described and shown by way of example only, without departing from the scope of the invention as defined by the claims that follow.

CLAIMS

1. An arrangement including:

- a variable optical attenuator (500) including a polarisation rotation medium (504), and
- an optical isolator (102) including an optical rotator (208),

characterised in that it includes a polariser (506) interposed between said polarisation rotation medium (504) and said optical rotator (208), whereby said polariser (506) is common to both said variable optical attenuator (500) and said optical isolator (102), said arrangement comprising an integrated variable optical attenuator and isolator assembly.

2. The arrangement of claim 1, characterised in that it includes an additional polariser (604) associated with said polarisation rotation medium (504) in said variable optical attenuator (500), whereby said polarisation rotation medium (504) is sandwiched between said additional polariser (604) and said polariser (506) common to said variable optical attenuator (500) and said optical isolator (102).

3. The arrangement of either of claims 1 and 2, characterised in that said optical rotator is a Faraday rotator (208).

4. The arrangement of claim 3, characterised in that said optical rotator (208) has associated a magnet (200) with an opening for locating said Faraday rotator (208).

5. The arrangement of either of claims 3 or 4, characterised in that said Faraday rotator (208) is a garnet material.

6. The arrangement of any of the previous claims, characterised in that said polarisation rotation medium (504) includes a liquid crystal cell (316).

7. The arrangement of any of the previous claims 1 to 5, characterised in that said polarisation rotation medium (504) includes a Faraday rotator material (406) and a solenoid (410) for generating a magnetic field through said Faraday rotator material (406).

8. A component for the arrangement of any of claims 1 to 7, characterised in that it includes said polarisation rotation medium (504) and said common polariser (506) connected in optical alignment.

9. A component for the arrangement of any of claims 1 to 7, characterised in that it includes said optical rotator (208) having connected therewith an output polariser (210b).

10. A method of assembling the arrangement of any of claims 1 to 7, characterised in that it includes the steps of:

- providing a first component (700) including said polarisation rotation medium (504) and said common polariser (506) connected in optical alignment,
- providing a second component (702) including said optical rotator (208), and
- assembling said first (700) and second (702) components by causing said common polariser (506) to be

interposed between said polarisation rotation medium (504) and said optical rotator (208).

ABSTRACT

**Integrated variable optical attenuator and isolator,
components therefor and method of assembly**

An integrated variable optical attenuator and isolator assembly includes:

- a variable optical attenuator including a polarisation rotation medium (504),
 - an optical isolator including an optical rotator (208), and
 - a polariser (506) interposed between the polarisation rotation medium (504) and the optical rotator (208), whereby said polariser (506) is common to both the variable optical attenuator and the optical isolator.
- (Figure 6)

Fig. 1

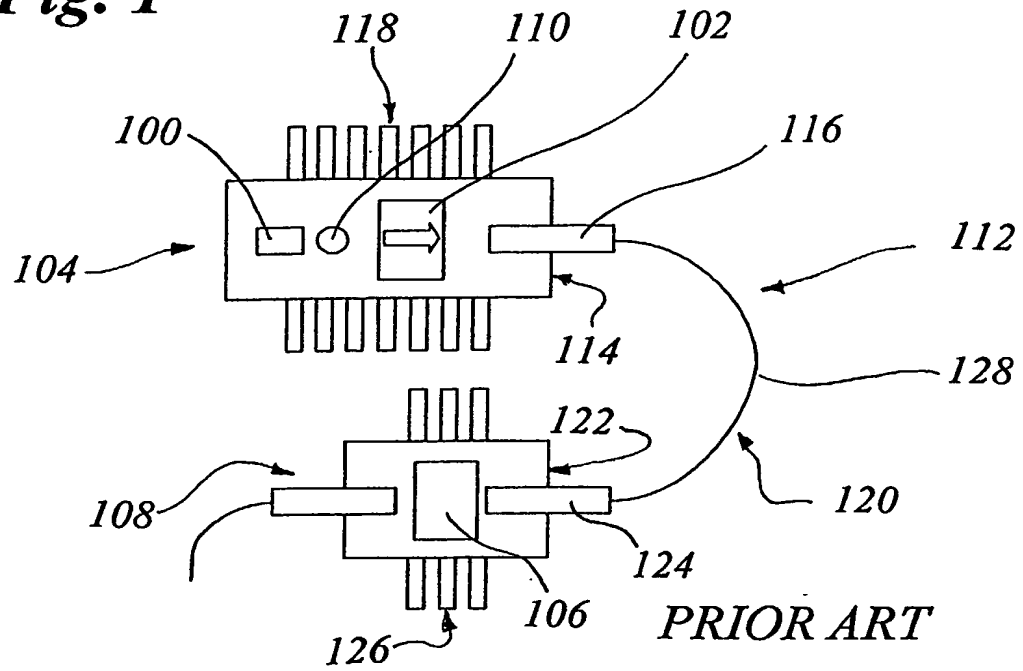
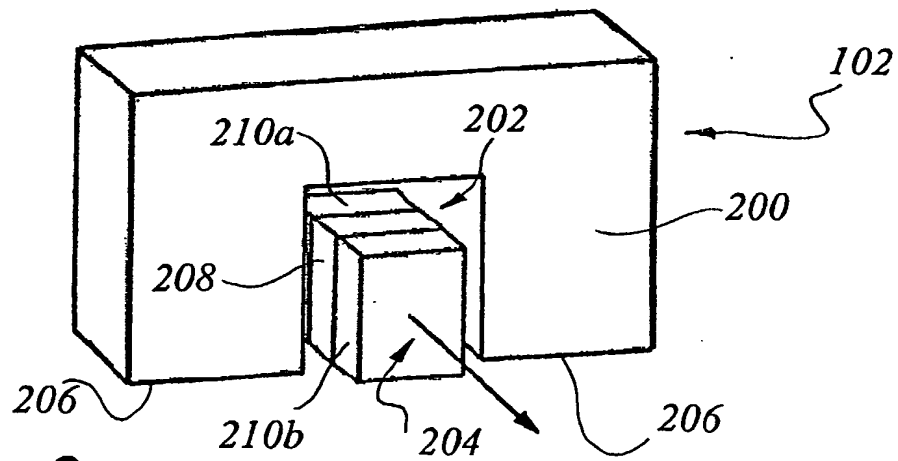


Fig 2



PRIOR ART

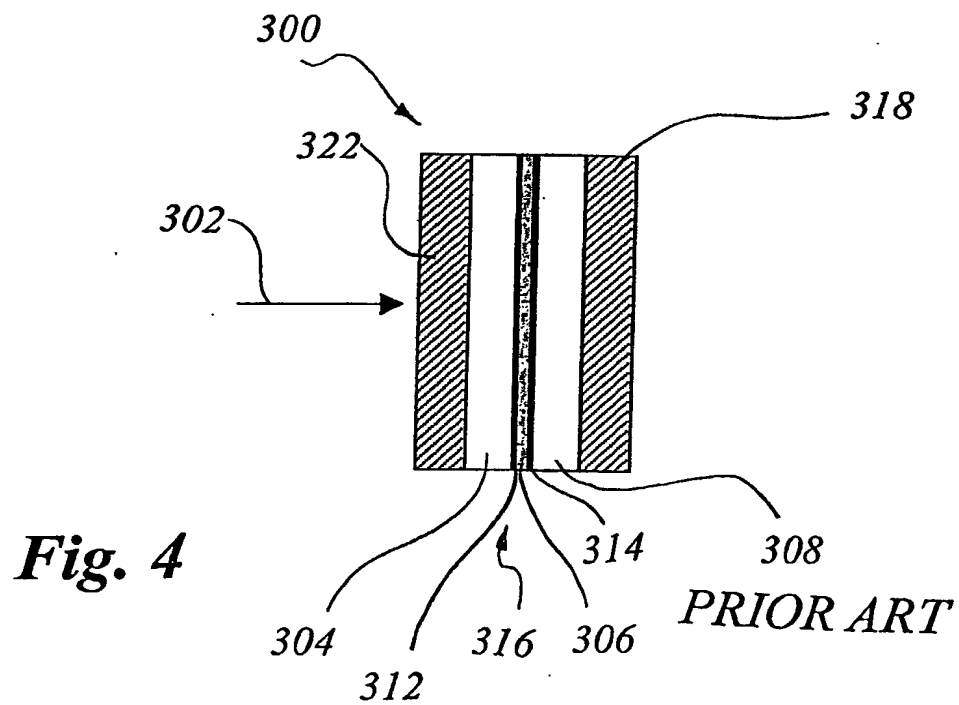
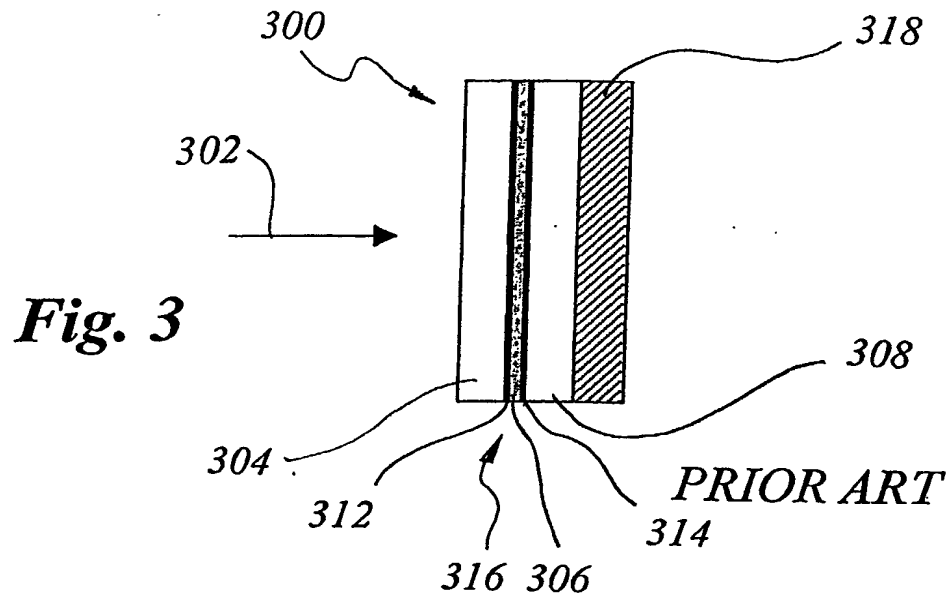


Fig. 5

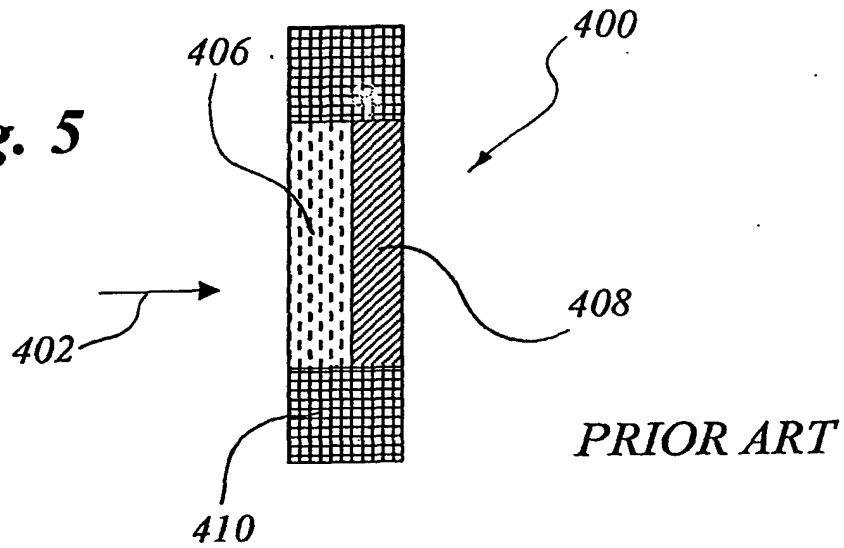
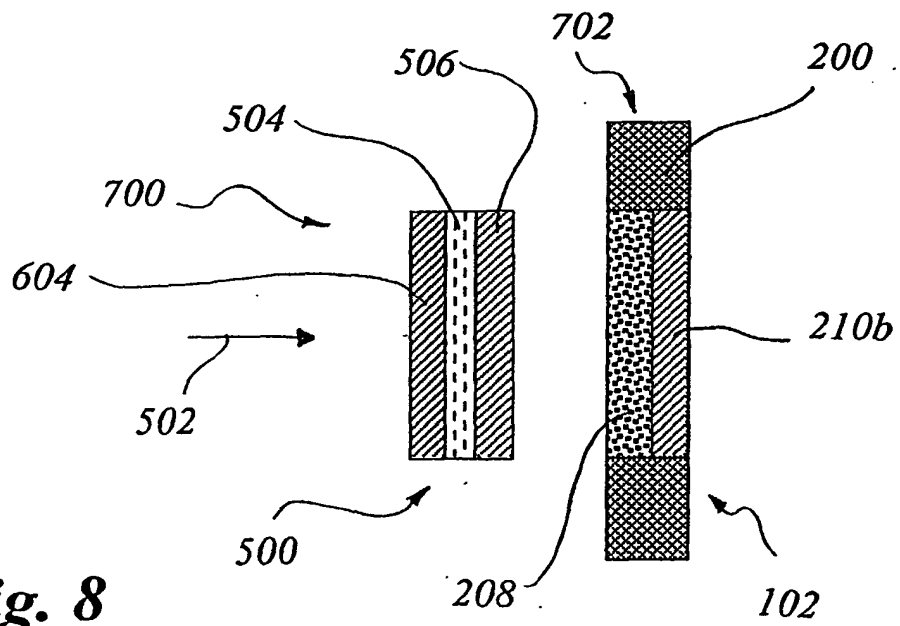
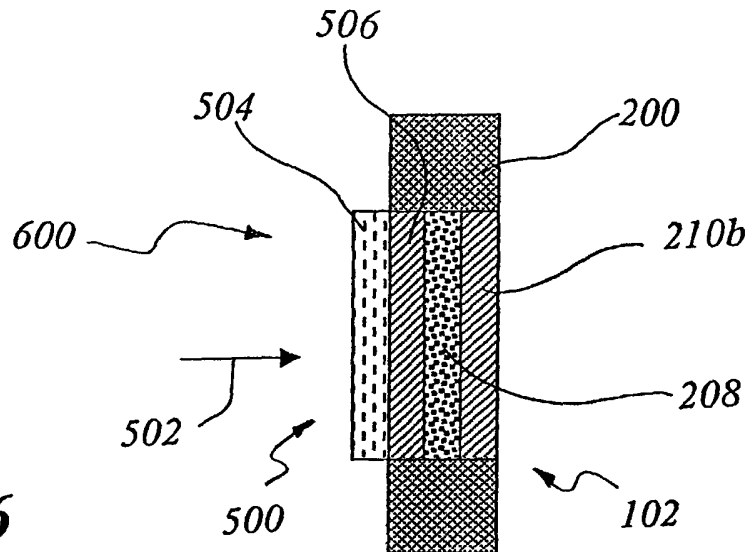
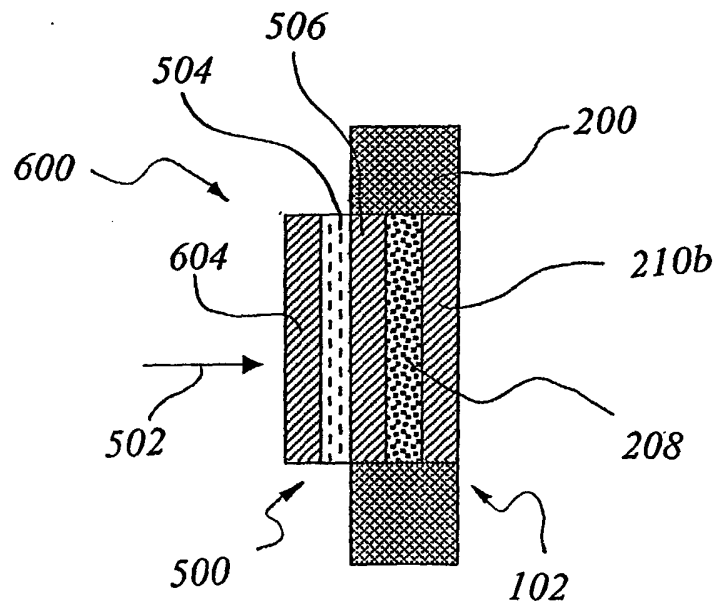


Fig. 8



**Fig. 6****Fig. 7**